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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

| | |
|----------------------|--------------|
| Application Number | 09/599,813 |
| Filing Date | Jun 21, 2000 |
| First Named Inventor | Ardeleanu |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | MS1-583US |

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MAR 20 2003

Technology Center 2100

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 12-0769

Deposit Account Name: Lee & Hayes, PLLC

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

| 1. BASIC FILING FEE | | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | | |
| 1001 750 | 2001 375 | Utility filing fee | |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below

Total Claims: 20** = 18 X 18 = 324

Independent Claims: 3** = 84 X 84 = 7056

Multiple Dependent: 0 X 0 = 0

| Large Entity Fee Code (\$) | | Small Entity Fee Code (\$) | | Fee Description | Fee Paid |
|----------------------------|----------|----------------------------|----------|--|----------|
| 1202 18 | 2202 9 | 2201 42 | 2203 140 | Claims in excess of 20 | |
| 1201 84 | 2201 42 | 2203 140 | 2204 42 | Independent claims in excess of 3 | |
| 1203 280 | 2203 140 | 2204 42 | 2205 9 | Multiple dependent claim, if not paid | |
| 1204 84 | 2204 42 | 2205 9 | | ** Reissue independent claims over original patent | |
| 1205 18 | 2205 9 | | | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) (\$) | | | | | |

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | | Small Entity Fee Code (\$) | | Fee Description | Fee Paid |
|----------------------------|--------------|----------------------------|--------------|--|----------|
| 1051 130 | 2051 65 | 1052 50 | 2052 25 | Surcharge - late filing fee or oath | |
| 1053 130 | 2053 130 | 1812 2,520 | 2520 2,520 | Surcharge - late provisional filing fee or cover sheet | |
| 1812 2,520 | 2520 2,520 | 1804 920* | 920* 920* | Non-English specification | |
| 1804 920* | 920* 920* | 1805 1,840* | 1840* 1,840* | For filing a request for <i>ex parte</i> reexamination | |
| 1805 1,840* | 1840* 1,840* | 1251 110 | 2251 55 | Requesting publication of SIR prior to Examiner action | |
| 1251 110 | 2251 55 | 1252 410 | 2252 205 | Requesting publication of SIR after Examiner action | |
| 1252 410 | 2252 205 | 1253 930 | 2253 465 | Extension for reply within first month | |
| 1253 930 | 2253 465 | 1254 1,450 | 2254 725 | Extension for reply within second month | |
| 1254 1,450 | 2254 725 | 1255 1,970 | 2255 985 | Extension for reply within third month | |
| 1255 1,970 | 2255 985 | 1401 320 | 2401 160 | Extension for reply within fourth month | |
| 1401 320 | 2401 160 | 1402 320 | 2402 160 | Extension for reply within fifth month | |
| 1402 320 | 2402 160 | 1403 280 | 2403 140 | Notice of Appeal | |
| 1403 280 | 2403 140 | 1451 1,510 | 1451 1,510 | Filing a brief in support of an appeal | |
| 1451 1,510 | 1451 1,510 | 1452 110 | 2452 55 | Request for oral hearing | |
| 1452 110 | 2452 55 | 1453 1,300 | 2453 650 | Petition to institute a public use proceeding | |
| 1453 1,300 | 2453 650 | 1501 1,300 | 2501 650 | Petition to revive - unavoidable | |
| 1501 1,300 | 2501 650 | 1502 470 | 2502 235 | Petition to revive - unintentional | |
| 1502 470 | 2502 235 | 1503 630 | 2503 315 | Utility issue fee (or reissue) | |
| 1503 630 | 2503 315 | 1460 130 | 1460 130 | Design issue fee | |
| 1460 130 | 1460 130 | 1807 50 | 1807 50 | Plant issue fee | |
| 1807 50 | 1807 50 | 1806 180 | 1806 180 | Petitions to the Commissioner | |
| 1806 180 | 1806 180 | 8021 40 | 8021 40 | Processing fee under 37 CFR 1.17(q) | |
| 8021 40 | 8021 40 | 1809 750 | 2809 375 | Submission of Information Disclosure Stmt | |
| 1809 750 | 2809 375 | 1810 750 | 2810 375 | Recording each patent assignment per property (times number of properties) | |
| 1810 750 | 2810 375 | 1801 750 | 2801 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1801 750 | 2801 375 | 1802 900 | 1802 900 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1802 900 | 1802 900 | | | Request for Continued Examination (RCE) | |
| | | | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

| | | | | |
|-------------------|-----------------|-----------------------------------|---------|--------------------------|
| Name (Print/Type) | Lance R. Sadler | Registration No. (Attorney/Agent) | 38,605 | Telephone (509) 324-9256 |
| Signature | | Date | 3/13/03 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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Please type a plus sign (+) inside this box → ☐

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PTO/SB/21 (08-00)

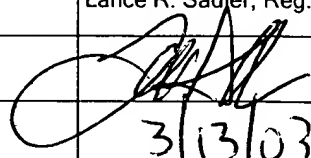
Approved for use through 10/31/2002. OMB 0651-0031

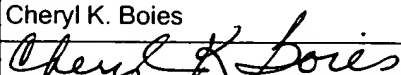
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|------------------------|--------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/599,813 |
| | Filing Date | Jun 21, 2000 |
| | First Named Inventor | Ardeleanu |
| | Group Art Unit | |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | MS1-583US |

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS by Applicant; reference copies (3); return receipt postcard |
| Remarks | | |
|  22801 PATENT TRADEMARK OFFICE | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Lance R. Sadler, Reg. No. 38,605 |
| Signature |  |
| Date | 3/13/03 |

| CERTIFICATE OF MAILING | | |
|--|---|----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: _____ | | |
| Typed or printed name | Cheryl K. Boies | |
| Signature |  | Date 3/13/2003 |

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#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.09/599,813
Filing Date Jun 21, 2000
Inventor **RECEIVED** Ardeleanu et al.
Applicant **MAR 20 2003** Microsoft Corporation
Group Art Unit
Examiner **Technology Center 2100**
Attorney's Docket No. MS1-583US
Title: Authoring Arbitrary XML Documents using DHTML and XSLT

INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 CFR 1.97(e)

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Furthermore, each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

Respectfully Submitted,

Date: 3/13/03

By: 

Lance R. Sadler
Reg. No. 38,605